

Re: First diagonal proof for real numbers

Source: <http://sci.tech-archive.net/Archive/sci.math.research/2007-06/msg00000.html>

- *From:* "Dave L. Renfro" <renfr1dl@xxxxxxxxxx>
 - *Date:* Sat, 2 Jun 2007 19:30:00 +0000 (UTC)
-

WM wrote (in part):

So we have now a fine final result concerning the first recognition of the special substitution rule necessary for Cantor's diagonal proof using n -ary representations of real numbers:

Borel (1898) probably was the first to mention it.

While working on something else (but related), I came across two earlier appearances in the literature that are explicit about this issue.

Felix Klein, "Vorträge über ausgewählte Fragen der Elementargeometrie", B. G. Teubner, 1895, v + 66 pages.
[Preface dated Ostern (Easter) 1895. JFM 26.0546.01]
<http://quod.lib.umich.edu/cgi/b/bib/bibperm?q1=ACV2370.0001.001>

Charlotte Angas Scott, Review of Felix Klein's "Vorträge über ausgewählte Fragen der Elementargeometrie", Bulletin of the American Mathematical Society (2) 2 (1895-96), 157-164.

The following is from pp. 162-163 of C. A. Scott's review, which discusses what can be found on p. 42 of Klein's book.

The next step is to show how numbers can be constructed that shall not be contained in this orderly series. The number being required to lie within certain limits, so that there are given a certain number of decimal places, e.g., 5, the digits in the following places have to be selected so that the number differs from all of the series. For a reason explained, the digit 9 is avoided. The 6th digit is chosen to be different from the 6th of the first algebraic number, and thus the

Re: First diagonal proof for real numbers

number constructed will certainly be different from this; the 7th digit is chosen to be different from the 7th of the second algebraic number, by which we ensure that the number written down is not the second, and so on. Hence we are assured of the existence of numbers that are not the roots of any algebraic equation; that is, the existence of transcendental numbers is proved, and it is shown how they can be written down. Moreover, since the choice of the digit to be written in any assigned place is restricted only by the exclusion of two digits, 9 and one other, we may choose any one of the 8 that are left, zero being admissible in this same way as any other. Hence between any two algebraic numbers there are 8^{∞} transcendental numbers, (not ∞^8 as stated in the pamphlet,) [the last 2 commas appear exactly as I've placed them] and real algebraic numbers form only a small part of all numbers.

Heinrich Weber, "Lehrbuch der Algebra", Volume II, Friedrich Vieweg und Sohn, 1896, xiv + 796 pages. [Preface dated July 1896. JFM 27.0056.01]
http://dz1.gdz-cms.de/no_cache/dms/load/toc/?IDDOC=45274

Heinrich Weber, "Transcendental numbers", translation by Wooster Woodruff Beman of Chapter 25 (pp. 745–767) of Heinrich Weber's "Lehrbuch der Algebra" (Volume II), Bulletin of the American Mathematical Society (2) 3 (1896–97), 174–195. [JFM 28.0084.01]

Pages 750–751 of Weber's book (Volume II) gives the diagonal proof specifically for decimal expansions of real numbers. There is a lengthy review of Weber's book by James Pierpont in Bull. Amer. Math. Soc. (2) 4 (1897–98), 200–234, but as far as I could tell there was no mention of either of Cantor's proofs in it.

The following is from pp. 178–179 of W. W. Beman's translation:

This theorem may be demonstrated in another way which is simpler in some respects and may be briefly indicated. [The earlier proof was Cantor's 1874 proof.] We do not restrict the generality if we confine ourselves to the interval from 0 to 1. We shall imagine all numbers of this interval represented by decimal fractions with an infinite number of terms. Finite decimal fractions are

Re: First diagonal proof for real numbers

included if we make all the digits after a certain one equal to zero. To render this representation by decimal fractions unambiguous, it must be agreed that for a finite decimal fraction this representation must always be chosen, so that, for example, 0.4999 ... must not be written for 0.5000 ...

We will now assume that these decimal fractions form an enumerable mass. They may then be arranged in a countable series, represented as follows:
[replace 'a' with '\alpha' and 'b' with '\beta']

$$\begin{aligned} \omega_1 &= 0.a_{11}a_{12}a_{13} \dots \\ \omega_2 &= 0.a_{21}a_{22}a_{23} \dots \\ \omega_3 &= 0.a_{31}a_{32}a_{33} \dots \end{aligned}$$

.....

where the a_{μ}^{ν} represent digits of the decimal system.

But it is very easy to form a decimal fraction (or indeed, as many as we please) which is not contained in the series ω . We have only to form

$$\eta = 0.b_1b_2b_3 \dots$$

where the b_{ν} are digits of the decimal system, satisfying the one condition that for every ν , b_{ν} is different from a_{ν}^{ν} . This number η , which also belongs to the interval (0,1) cannot be a number of the series ω .

The formation of η may be made still more general by arbitrarily selecting the b's as far as we please and then applying the law, $b_{\nu} > a_{\nu}^{\nu}$.

Dave L. Renfro

.