

# Re: a proof for consideration

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  - *Date:* 11 Sep 2006 21:59:44 -0700
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I finally got my hands on a copy of Steinberg's paper, and the key line of reasoning I use to make the proof that P is not equal to NP is not addressed in the paper. The focus of that paper is on finding local structures that would determine 3-colorability, while I demonstrate that such an approach cannot be satisfactory. Furthermore, I show that reducing the problem to one that is local in scope necessarily requires exponential time as well.

As far as I can tell, based on the Steinberg paper (which, admittedly, is 13 years old), the approach I used is novel. I haven't come across anything more recent that would suggest otherwise, either.

Matt Zellman wrote:

Matt Zellman wrote:

Proginoskes wrote:

Matt Zellman wrote:

Proginoskes wrote:

[matt.zellman@xxxxxxxx](mailto:matt.zellman@xxxxxxxx)  
wrote:

so a couple  
of weeks  
ago, I  
posted here  
asking for  
help with a  
paper. I  
decided to  
go ahead  
and put  
what I have  
out here so  
people can

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look it  
over. I'll  
reiterate  
some of the  
concepts I  
went over in  
the previous  
thread, and  
then see if  
my  
reasoning is  
sound.

Recently, I got a few free  
moments, and I looked up  
Richard Steinberg's  
paper "The Three-Color  
Problem" (to update and fix  
errors on my page  
about Steinberg's  
Conjecture), and I found  
that some of Zellman's  
concepts showed up there as  
well.

1.  
k-chromatic  
Edge  
Replacement  
Subgraphs  
( $\wedge$ kERSs)

a  $\wedge$ kERS is  
a  
k-chromatic  
graph that  
contains at  
least one  
pair of  
nonadjacent  
vertices for  
which no  
valid  
k-colorings  
exist when  
they are  
colored the  
same color.

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A couple of Russian mathematicians, V.A. Aksionov and L.S. Mel'nikov, called  $k$ ERS's "quasi-edges" in a 1978 paper ("Essay on the theme: the three-color problem", Combinatorics, Colloquia Mathematica Societatis Janos Bolyai 18, 23-34).

Would it be more appropriate for me to switch to this term, since it predates mine? I think it is much clearer to include the chromatic number in the designation, because such subgraphs only really work when colored with a set number of colors.

Sticking with "quasi-edges" would cause less confusion. However, if calling them "k-quasi-edges" would be a nice compromise.

Sounds good to me. "k-quasi-edges" it is.

The  $k$ ERS as a whole functions in exactly the same way as a single edge, and a  $k$ -chromatic graph can be transformed  $G \Rightarrow G'$  by replacing an edge with a  $k$ ERS, a process I

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have called  
"expansion  
by  
edge-replacement."

The reverse  
process,  
replacing a  
 $k$ -ERS with  
an edge, I  
have termed  
"reduction  
by  
edge-replacement."

## 2. Boundary Points

Every graph  
has at least  
one set of  
vertices (of  
a size  
greater than  
or  
equal to the  
chromatic  
number  $k$  of  
the graph)  
for which  
no valid  
 $k$ -colorings  
exist when  
the vertices  
in the set  
are colored  
with less  
than  $k$   
colors. Any  
such set of  
vertices is a  
set of  
"boundary  
points."

## 3. Basic $k$ -chromatic Graphs

A primary  
basic

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k-chromatic graph is constructed by taking a basic (k-1)-chromatic graph and adding one vertex, which is then connected to an entire set of boundary points with edges or  $k$ -ERSs. A secondary basic k-chromatic graph is an expansion of a primary basic k-chromatic graph by edge-replacement. The basic 1-chromatic graph is a single vertex.

Every graph with chromatic number  $k$  contains at least one basic k-chromatic graph as a subgraph, and no basic (k+1) chromatic graphs as subgraphs.

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This sounds a lot like a problem that Bjarne Toft raised in 1985:

PROBLEM. Suppose  $G$  is a  $(k+1)$ -colorable graph which does not contain  $K_{k+1}$ . Does it follow that there are two vertices  $x$  and  $y$  and two  $k$ -colorable subgraphs  $G_1$  and  $G_2$ , each containing  $x$  and  $y$ , such that:  
(1) in any  $k$ -coloring of  $G_1$ ,  $x$  and  $y$  receive different colors, and  
(2) in any  $k$ -coloring of  $G_2$ ,  $x$  and  $y$  receive the same color.

The converse is true for any  $k$ . This problem is true for  $k=2$  but has been proven to be false if  $k \geq 6$ . It is open for  $k=3, 4, 5$ . AFAIK.

Basically, the question is, "is there any combination of a  $k$ -ERS and an 'anti- $k$ -ERS' that would force  $k+1$  colors, and does not contain a basic  $k$ -chromatic graph?"?

right?

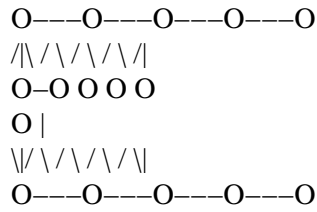
Yes. Although here, all "boundary sets" would have size two.

interesting. I think I can prove that the answer to this question is "no" for  $k=3$ .

should I go ahead and attempt this proof? or is it even necessary?

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The scope  
of the  
three-color  
problem is  
bounded  
only by the  
size of the  
graph. That  
is, consider  
the graph  
below  
(Figure A):



This graph  
is  
4-chromatic,  
as are all  
the graphs  
with the  
same end  
regions and  
different  
lengths of  
the same  
pattern in  
the middle.

I  
could  
change  
something  
anywhere  
on the graph  
to make the  
chromatic  
number 3.  
For  
example, I  
could delete  
the leftmost  
vertex, I  
could add  
a vertex in  
the middle  
of the edge

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at the right  
end, or I  
could  
change  
any of the  
middle  
vertices to  
other  
configurations.

To put it  
succinctly,  
in order to  
determine  
the  
colorability  
of the  
graph, I am  
required to  
make an  
exhaustive  
analysis. No  
local  
analysis  
would  
guarantee  
that I find  
all the  
structures  
that  
determine  
the  
colorability  
of the  
graph.

Suppose,  
however,  
that using  
edge-replacement,  
we could  
reduce the  
graph to  
some  
configuration  
that could  
be analyzed  
locally. If  
we

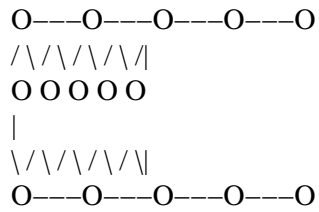
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replace all  
the  $\Delta$ 3ERSs  
with edges  
until there  
are no  
 $\Delta$ 3ERSs  
left, we  
will be left  
with a graph  
that can be  
analyzed  
locally, in  
deterministic  
polynomial  
time. We  
simply have  
to go  
through the  
graph,  
and for each  
vertex, we  
look for all  
the edges  
connected  
to that  
vertex, and  
take note of  
which  
vertex is on  
the other  
end of each  
edge.  
Then we  
look for all  
the edges  
between  
those  
vertices,  
and build a  
subgraph  
from them.  
We can try  
to color this  
subgraph  
with two  
colors.  
If we can,  
then the  
graph may  
still be  
3-colorable.

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If we can't,  
then  
the graph is  
not  
3-colorable.

It certainly  
seems like a  
reasonable  
course of  
action, but  
it turns out  
that even  
identifying  
^3ERSs in a  
graph is  
necessarily  
exponential  
over  
the inputs.  
Go back to  
the example  
graph  
shown  
above. If we  
take it  
back to the  
basic  
3-chromatic  
graph it is  
constructed  
from, we  
are left  
with the  
graph below  
(Figure B):



It is an  
example of  
a graph in  
which a  
single  
^3ERS has  
been

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iterated 4  
times from  
a simple  
triangle.  
The  $\Delta$ ERS  
by itself  
looks like  
this  
(Figure C):

1 @ --- O2  
 \ /  
 3 O |  
 / \  
 4 @ --- O5

(where the  
@s signify  
the  
endpoints of  
the edge  
that is being  
replaced,  
and the  
vertices are  
numbered  
from 1–5 to  
help us out  
later)

Aksionov and Mel'nikov  
call the smaller graph a  
"building block".  
Richard Steinberg's paper  
goes into more detail.

Where would be the best place to get ahold  
of these papers? What  
exactly am I looking for?

The Steinberg paper is in a book called *Quo Vadis, Graph  
Theory?*,  
which should be in your local university library (call number  
QA166 .Q6  
1993). (This book is about 400 pages long, but Steinberg's  
article only  
consists of pages 211–248.) I would think it's too old to be  
available

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electronically. Steinberg might still have preprints or reprints, but I doubt it. (His webpage is <http://www.jbs.cam.ac.uk/people/faculty/steinbergr.html> ).

I will check this out the next chance I get.

I finally located a copy and am waiting for it to come in. There isn't a library in the area that has it.

In each even iteration of this ^3ERS, an edge can be constructed between the initial vertex 1, and vertex 5 of the second/fourth/sixth... iteration, without changing the colorability of the graph. Alternatively, an edge can be constructed between the initial vertex 4 and vertex 2 of that iteration. For odd iterations, they switch: initial vertex 1 can be

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connected  
to vertex 2  
of the  
third/fifth/seventh...  
iteration, or  
initial  
vertex 4 can  
be  
connected  
to vertex 5  
of that  
iteration.

Suppose  
that for each  
iteration, we  
construct  
one of these  
two edges.  
Such a  
construction  
across  
iterations  
creates a  
 $\Delta$ ERS that  
cannot be  
reduced to  
another  
 $\Delta$ ERS, but  
only  
straight to  
an edge.  
Each  
iteration  
adds 3  
vertices and  
7 edges to  
the graph.  
The extra  
edge we add  
makes  
it 3 and 8.  
We can  
represent  
which edge  
we have  
constructed  
at each  
iteration by  
simply  
making an

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ordered list:  
14411144444...  
This  
particular  
example is  
equivalent  
to the graph  
represented  
by  
41144411111,  
but not to  
the graph  
represented  
by  
11411144444  
or any  
other graph  
in the set.  
There are  
therefore at  
least  
 $2^{(i-2)}$   
unique  
possible  
 $\wedge^3$ ERSs for  
each  
iteration  $i$   
( $i > 1$ ).  
Relating  
this to the  
size  
of the input  
(suppose  
our input is  
just the list  
of edges),  
the number  
of necessary  
tests for  
unique  
 $\wedge^3$ ERSs for  
a graph with  
 $E$  edges  
must  
necessarily  
exceed  
(since our  
starting set  
of  $\wedge^3$ ERSs  
is severely  
limited, as

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are the rules  
for  
construction):

$2^{(E/8-2)}$   
for  $E > 16$

Since  
without  
edge-replacement,  
the scope of  
the problem  
is  
unbounded,  
and  
therefore  
requires an  
exhaustive  
search, and  
with edge  
replacement,  
it requires a  
number of  
tests that is  
at least  
exponential  
over the  
size of the  
input, we  
are forced  
to conclude  
that the  
3-coloring  
problem  
cannot be  
solved  
deterministically  
in  
polynomial  
time.

And, as a  
direct result,  
P is not  
equal to NP.

Is there  
anything  
I've missed?

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Is this part of the proof new? or is it also covered in Steinberg or Askionov and Mel'nikov?

This last part isn't, but Steinberg usually only summarizes results.

The reference list has 128 papers on it.

--- Christopher Heckman

Oh, fun, a scavenger hunt! ;-)

~Matt Zellman

As a footnote, I realize I never actually stated the purpose of the exhaustive search in the proof, which leaves a rather significant disconnect for people that don't make the connection automatically. What we are searching for are the new points that were added in the construction of a basic 4-chromatic graph. In a sense, we are seeing whether the vertices directly connected to some particular vertex are a set of boundary points of a basic 3-chromatic graph (or really, any 3-chromatic graph, which if the conjecture about basic 3-chromatic graphs being inherent in all graphs of chromatic number 3 is true, amounts to the same thing). The scope of the search is the number of vertices removed from the initial vertex that we have to examine.

If it is necessary, I can prove that this is the most efficient algorithm possible (other than guess-and-check, which may actually be faster--as is the case for 2-coloring), though I have a hunch that this statement may be equivalent to--or at least follow quickly from--what was proved by Razborov and Rudich regarding "natural proofs."

does Razborov and Rudich's proof actually imply this, or is it wishful thinking on my part?